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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/921,835	08/03/2001	Claude Rocray	560043670118

CONFIRMATION NO. 8607

## FORMALITIES LETTER



\*OC000000006530207\*

24325

Jones, Day, Reavis and Pogue  
North Point  
901 Lakeside Avenue  
Cleveland, OH 44114

Date Mailed: 09/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/27/2001 SFELEKE1 00000030 501432 09921835

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PATENT  
Attorney Docket: 560043670118

In The United States Patent and Trademark Office

In re Application of: Rocray, et al.

Serial No.: 09/921,835 /

Filed: August 3, 2001

For: SYSTEM AND METHOD FOR IMPLEMENTING A REDUNDANT DATA  
STORAGE ARCHITECTURE

Group Art Unit: 2183

Examiner: Not Yet Assigned

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application,  
Filing Date Granted, dated September 7, 2001, a copy of which is returned herewith,  
Applicants have enclosed the following papers relating to the above-identified application:

- Power of Attorney or Authorization of Agent.
- Declaration for Utility or Design Patent Application.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in  
an envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231 on the date indicated below.

Suzanne Koston

Name

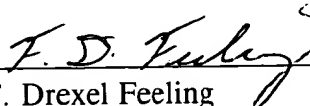
October 19, 2001

Date

Signature

Please charge the \$130.00 to satisfy the Surcharge Amount for a non-small entity with respect to Item 3 in compliance with 37 CFR 1.16(e) or in the event additional fees are due, to Jones, Day, Reavis & Pogue Deposit Account No. 501432, Ref. 560043670118.

Respectfully submitted,

  
F. Drexel Feeling  
Reg. No. 40,602  
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Date: Oct 12, 2001.